

As per SEBI circular: SEBI/IMD/CIR No. 4/ 168230/09, following are the details of the commission earned by AU Small Finance Bank from various fund-houses, whose products are being distributed:

<b>Mutual Funds Commission Disclosure (FY 24-25)</b>	
<b>Fund Schemes</b>	<b>Trail</b>
<b>Equity Schemes</b>	
Equity and Balanced Funds	0.40% to 1.40%
ELSS Funds	0.40% to 1.25%
<b>Hybrid Schemes</b>	
Asset Allocation Funds	0.40% to 1.25%
Arbitrage Funds	0.05% to 0.50%
Hybrid Funds	0.40% to 1.35%
<b>Debt Schemes</b>	
Gilt Funds	0.10% to 1.20%
Duration & Bond Funds	0.15% to 1.05%
Banking/PSU Funds & Credit Risk Funds	0.15% to 0.45%
Liquid/Money Market & Floating Rate	0.05% to 0.20%
<b>Other Schemes</b>	
Index Funds	0.05% to 0.75%
Global Funds	0.05% to 0.75%

### **NOTE:**

- 1) AU Small Finance Bank Ltd is an “AMFI Registered Mutual Funds Distributor” with ARN - 124591
- 2) The above-mentioned rates are subject to change without any prior consent and at the discretion and agreement between AU Small Finance Bank & respective AMCs.
- 3) Details of Scheme level commission on Mutual Funds are available with the Relationship Managers & Branch Managers and would be produced on demand.
- 4) B-30 Brokerage has been discontinued from 01<sup>st</sup> March 2023.

## Referral Products

The bank receives referral fee from various product providers for referring clients to partner product providers.

Product referral providers.

Scheme Types	Brokerage Rate
Portfolio Management Services*	0.50% to 1.75% p.a.
Alternative Investment Fund	Up to 1.75% p.a.

\*The above referral fee is for both fixed & variable fee option.

AU Small Finance Bank has tied-up with following PMS:

S.No.	AMC Name	Type
1	ICICI Prudential PMS	PMS
2	Whiteoak Capital Investment PMS	PMS
3	ASK Investment PMS	PMS
4	Sundaram Alternate PMS	PMS
5	Motilal Oswal Asset Management PMS	PMS
6	Ambit Investment PMS	PMS
7	SageOne Investment PMS	PMS
8	SBI Funds Management PMS	PMS
9	Equirus Wealth PMS	PMS
10	360 One Asset Management PMS	PMS
11	Philip Capital Asset Management PMS	PMS
12	Phillip Capital Venture- Gift City	PMS

AU Small Finance Bank has tied-up with following AIFs:

S.No.	AMC Name	Type
1	ICICI Prudential AIF	AIF
2	Motilal Oswal Asset Management AIF	AIF
3	360 One Asset Management AIF	AIF
4	Nippon India Asset Management AIF	AIF
5	NEO Asset Management AIF	AIF
6	Equirus Wealth AIF	AIF
7	Guardian Partners AIF	AIF