

As per SEBI circular: SEBI/IMD/CIR No. 4/ 168230/09, following are the details of the comparative commission earned by AU Bank from various fund-houses, whose products are being distributed and is effective from 1<sup>st</sup> August 2021:

<b>Commission, Brokerage and trail from Mutual funds</b>	<b>T30</b>	<b>B30</b>
<b>Fund Schemes</b>	<b>Trail 1st Year</b>	<b>Trail 1st Year</b>
<b>Equity Schemes</b>		
Equity and Balanced Funds	0.40% to 1.40%	1.00% to 3.25%
ELSS Funds	0.40% to 1.25%	1.00% to 2.85%
<b>Hybrid Schemes</b>		
Asset Allocation Funds	0.40% to 1.25%	1.00% to 2.50%
Arbitrage Funds	0.05% to 0.50%	0.05% to 0.60%
Hybrid Funds	0.40% to 1.35%	1.00% to 2.40%
<b>Debt Schemes</b>		
Gilt Funds	0.10% to 1.20%	0.10% to 2.2%
Duration & Bond Funds	0.15% to 1.05%	0.15% to 1.05%
Banking/PSU Funds & Credit Risk Funds	0.15% to 0.45%	0.15% to 0.45%
Liquid/Money Market & Floating Rate	0.05% to 0.20%	0.05% to 0.20%
<b>Other Schemes</b>		
Index Funds	0.05% to 0.75%	0.05% to 0.75%
Global Funds	0.05% to 0.75%	0.05% to 0.75%

## **NOTE -**

1) SIP upfront for 3 years is applicable if SIP amount of less than Rs. 5,000/- is logged in, on which amount is calculated as SIP amount multiplied by SIP Tenure and brokerage receivable on that particular scheme, but only for first time Unique PAN

2) AU BANK has opted out for transaction charges.

3) Details of Scheme level commission on Mutual Funds are available with the Relationship Managers & Branch Managers and would be produced on demand.

4) AU Bank acts as distributor of Mutual funds wherein we distribute products of various mutual funds.

5) The above rates are applicable w.e.f 1<sup>st</sup> August 2021.

This is on a best effort basis and rates are updated as and when actual rates are received from AMCs.

AU Small Finance Bank Ltd is an “AMFI Registered Mutual Funds Distributor”

